



भारतीय प्रौद्योगिकी संस्थान मुंबई  
Indian Institute of Technology Bombay

# CS 6001: Game Theory and Algorithmic Mechanism Design

Week 8

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Slide preparation acknowledgments: C. R. Pradhiti and Aditi Akarsh

ज्ञानम् परमम् ध्येयम्

Knowledge is the supreme goal



- ▶ The Social Choice Setup
- ▶ The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- ▶ Domain Restriction
- ▶ Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2

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- Ways out:
  - 1 consider a **social choice** setup
  - 2 put restrictions on agent preferences
- **Social choice function** (SCF)

$$f : \mathcal{P}^n \rightarrow A$$

$$A = \{a_1, a_2, \dots, a_m\}$$

$$N = \{1, 2, \dots, n\}$$

$\mathcal{P}$

Finite set of alternatives

Finite set of players

Set of all **linear** preference ordering



# Examples



- Most representative: **voting**

$$\begin{array}{cccc} & & & P \\ \hline a & a & c & d \\ b & b & b & c \\ c & c & d & b \\ d & d & a & a \end{array} \xrightarrow{f} A = \{a, b, c, d\}$$



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 & & & P \\
 \hline
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  - **k-approval**:  $(\underbrace{1, 1, \dots, 1}_k, 0, 0, \dots, 0)$



## Examples (contd.)



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$P$			
$a$	$a$	$c$	$d$
$b$	$b$	$b$	$c$
$c$	$c$	$d$	$b$
$d$	$d$	$a$	$a$

$$\text{score}(a) = \min\{2(b), 2(c), 2(d)\} = 2$$

$$\text{score}(b) = \min\{2(a), 2(c), 3(d)\} = 2$$

$$\text{score}(c) = \min\{2(a), 2(b), 3(d)\} = 2$$

$$\text{score}(d) = \min\{2(a), 1(b), 1(c)\} = 1$$

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- **Copeland**: based on Copeland score = number of wins in pairwise elections

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30%	30%	40%
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$P$		$P$					
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no **scoring rule** is Condorcet consistent

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**Which implies which?** if the top choice of all voters is the same, say  $a$ , all other alternatives are Pareto dominated by  $a$

## Desirable properties of SCF (contd.)



### Definition (Onto)

An SCF  $f$  is *onto* (ONTO) if and  $\forall a \in A, \exists P^{(a)} \in \mathcal{P}^n$  s.t.  $f(P^{(a)}) = a$ .

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- Plurality with fixed tie-breaking

$$a \succ b \succ c$$

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- Copeland with fixed tie-breaking

$$a \succ b \succ c$$

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# Strategyproofness and its implications



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An SCF is *strategyproof* (SP) if it is not manipulable by any agent at any profile.

Implications:

- Define **dominated set** of an alternative  $a$  at a preference  $P_i$  as

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- The set of alternatives *below*  $a$  in  $P_i$

$$P_i = \begin{matrix} b \\ a \\ c \\ d \end{matrix} \Rightarrow D(a, P_i) = \{c, d\}$$



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$b$	$b$	$b$	$c$	$b$	$c$	$b$	$c$
$c$	$c$	$d$	$b$	$a$	$b$	$d$	$b$
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## Definition (Monotonicity)

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- The relative position of  $c$  has improved from  $P$  to  $P'$ ; if  $c$  was the outcome at  $P$ , it continues to become the outcome at  $P'$

$P$				$P'$			
<hr/>				<hr/>			
$a$	$a$	$c$	$d$	$c$	$a$	$c$	$d$
$b$	$b$	$b$	$c$	$b$	$c$	$b$	$c$
$c$	$c$	$d$	$b$	$a$	$b$	$d$	$b$
$d$	$d$	$a$	$a$	$d$	$d$	$a$	$a$

## Theorem

An SCF  $f$  is **strategyproof** iff it is **monotone**.



- ▶ The Social Choice Setup
- ▶ The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- ▶ Domain Restriction
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- ▶ Median Voter Theorem: Part 1
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# Strategyproofness and Monotonicity



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# Proof of SP $\Leftrightarrow$ MONO

$$\begin{array}{lcl} (P_1, P_2, P_3, \dots, P_n) & \rightarrow & (P'_1, P_2, P_3, \dots, P_n) & \rightarrow & (P'_1, P'_2, P_3, \dots, P_n) \\ P = P^{(0)} & & P^{(1)} & & P^{(2)} \\ \dots & \rightarrow & (P'_1, \dots, P'_k, P_{k+1}, \dots, P_n) & \rightarrow & (P'_1 \dots P'_n) \\ & & P^{(k)} & & P^{(n)} = P' \end{array}$$

**Claim:**  $f(P^{(k)}) = a, \forall k = 1, \dots, n.$

- Suppose not, i.e.,  $\exists P^{(k-1)}, P^{(k)},$  s.t.  $f(P^{(k-1)}) = a, f(P^{(k)}) = b \neq a$
- There can be one of the three cases:
  - 1  $a P_k b$  and  $a P'_k b \rightarrow$  voter  $k$  misreports  $P'_k \rightarrow P_k$





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- Contradiction to  $f$  being SP

## Proof of $SP \Leftrightarrow MONO$ (contd.)



- For  $(SP \Leftarrow MONO)$ , we will prove  $\neg SP \Rightarrow \neg MONO$



## Proof of $SP \Leftrightarrow MONO$ (contd.)



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- $\neg SP$  implies that  $\exists i, P_i, P'_i, P_{-i}$ , s.t.  $\underbrace{f(P'_i, P_{-i})}_{b \text{ (say)}} P_i \underbrace{f(P_i, P_{-i})}_{a \text{ (say)}} = b P_i a$



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 $D(a, P_i) \subseteq D(a, P''_i) \xrightarrow{MONO} f(P''_i, P_{-i}) = a$



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- This concludes the proof

# Equivalence of PE, UN, ONTO under SP



## Lemma

*If an SCF  $f$  is MONO and ONTO, then  $f$  is PE.*

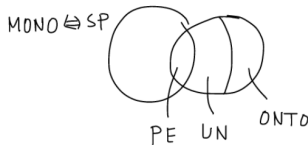


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**Figure:** Relation between SCFs



- Suppose not, i.e.  $f$  is MONO and ONTO but not PE then  $\exists a, b, P$  s.t.,  $b \in P_i \forall i \in \mathbb{N}$  but  $f(P) = a$



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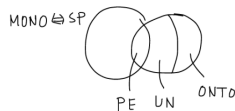
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# Proof

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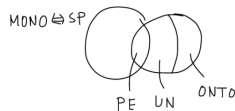
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Theorem (Gibbard 1973, Satterthwaite 1975)

Suppose  $|A| \geq 3$ ,  $f$  is ONTO and SP iff  $f$  is dictatorial.

The statements with  $f$  is PE (or UN) and SP are equivalent.





- ▶ The Social Choice Setup
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- ▶ **Proof of Gibbard-Satterthwaite Theorem**
- ▶ Domain Restriction
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*Suppose  $|A| \geq 3$ ,  $N = \{1, 2\}$ , and  $f$  is ONTO and SP, then for every preference profile  $P$ ,  $f(P) \in \{P_1(1), P_2(1)\}$*

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### Proof:

- If  $P_1(1) = P_2(1)$ , then UN implies  $f(P) = P_1(1)$  (ONTO  $\iff$  UN under SP)

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### Proof:

- If  $P_1(1) = P_2(1)$ , then UN implies  $f(P) = P_1(1)$  (ONTO  $\iff$  UN under SP)
- Say  $P_1(1) = a \neq b = P_2(1)$ . For contradiction assume  $f(P) = c \neq a, b$  (need at least 3 alternatives)

# Proof of GS Theorem (contd.)



$P_1$	$P_2$	$P_1$	$P'_2$	$P'_1$	$P'_2$	$P'_1$	$P_2$
$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$
$\cdot$	$\cdot$	$\cdot$	$a$	$b$	$a$	$b$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

$$f(P_1, P_2) = c (\neq a, b)$$

- Now  $f(P_1, P'_2) \in \{a, b\}$  [because all alternatives except  $b$  are Pareto dominated by  $a$ ]

# Proof of GS Theorem (contd.)



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$P_1$	$P_2$	$P_1$	$P'_2$	$P'_1$	$P'_2$	$P'_1$	$P_2$
$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$
$\cdot$	$\cdot$	$\cdot$	$a$	$b$	$a$	$b$	$\cdot$
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- Now apply MONO

# Proof of GS Theorem (contd.)



$P_1$	$P_2$	$P_1$	$P'_2$	$P'_1$	$P'_2$	$P'_1$	$P_2$
$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$
$\cdot$	$\cdot$	$\cdot$	$a$	$b$	$a$	$b$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

$$f(P_1, P_2) = c (\neq a, b)$$

- Now  $f(P_1, P'_2) \in \{a, b\}$  [because all alternatives except  $b$  are Pareto dominated by  $a$ ]
- But if  $f(P_1, P'_2) = b$ , then player 2 manipulates from  $P_2$  to  $P'_2$ , hence  $f(P_1, P_2) = a$
- By a similar argument,  $f(P'_1, P_2) = b$
- Now apply MONO
  - $P'_1, P_2 \rightarrow P'_1, P'_2$  outcome should be  $b$



# Proof of GS Theorem (contd.)



$P_1$	$P_2$	$P_1$	$P'_2$	$P'_1$	$P'_2$	$P'_1$	$P_2$
$a$	$b$	$a$	$b$	$a$	$b$	$a$	$b$
$\cdot$	$\cdot$	$\cdot$	$a$	$b$	$a$	$b$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

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- By a similar argument,  $f(P'_1, P_2) = b$
- Now apply MONO
  - $P'_1, P_2 \rightarrow P'_1, P'_2$  outcome should be  $b$
  - $P_1, P'_2 \rightarrow P'_1, P'_2$  outcome should be  $a$  (contradiction)

# Proof of GS Theorem (contd.)



## Lemma (Two player version of GS theorem)

Suppose  $|A| \geq 3$ ,  $N = \{1, 2\}$ , and  $f$  is ONTO and SP

- Let  $P : P_1(1) = a \neq b = P_2(1)$ ,  $P' : P'_1(1) = c$ ,  $P'_2(1) = d$
- If  $f(P) = a$ , then  $f(P') = c$
- If  $f(P) = b$ , then  $f(P') = d$



# Proof of GS Theorem (contd.)

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- If  $f(P) = b$ , then  $f(P') = d$

**Proof:** If  $c = d$ , unanimity proved the lemma. Hence consider  $c \neq d$ .

cases $\downarrow$	$c$	$d$
1	$a$	$b$
2	$\neq a, b$	$b$
3	$\neq a, b$	$\neq b$
4	$a$	$\neq a, b$
5	$b$	$\neq a, b$
6	$b$	$a$

- Enough to consider the case: if  $f(P) = a \implies f(P') = c$
- The other case is symmetric
- These cases are exhaustive



# Proof of GS Theorem (contd.)

**Case 1:**  $c = a, d = b,$

$P_1$	$P_2$	$P'_1$	$P'_2$	$\hat{P}_1$	$\hat{P}_2$
$a$	$b$	$a$	$b$	$a$	$b$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$b$	$a$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

- We know (by previous lemma)  $f(P') \in \{a, b\}$

$$\begin{array}{ccc} P_1 & P_2 & \xrightarrow{\text{MONO}} \hat{P}_1 \hat{P}_2 \\ a & & a \end{array}$$

$$\begin{array}{ccc} P'_1 & P'_2 & \xrightarrow{\text{MONO}} \hat{P}_1 \hat{P}_2 \\ b & & b \end{array}$$

# Proof of GS Theorem (contd.)



**Case 1:**  $c = a, d = b,$

$P_1$	$P_2$	$P'_1$	$P'_2$	$\hat{P}_1$	$\hat{P}_2$
$a$	$b$	$a$	$b$	$a$	$b$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$b$	$a$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

- We know (by previous lemma)  $f(P') \in \{a, b\}$
- Say for contradiction  $f(P') = b$

$$\begin{array}{c} P_1 \ P_2 \\ a \end{array} \xrightarrow{\text{MONO}} \begin{array}{c} \hat{P}_1 \ \hat{P}_2 \\ a \end{array}$$

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# Proof of GS Theorem (contd.)



**Case 2:**  $c \neq a, b, d = b,$

$P_1$	$P_2$	$P'_1$	$P'_2$	$\hat{P}_1$	$P_2$
$a$	$b$	$c$	$b$	$c$	$b$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$a$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

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(apply case 1)

agent 1 misreports  $\hat{P}_1 \rightarrow P_1$  as  $a \hat{P}_1 b$



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$a$	$b$	$c$	$b$	$c$	$b$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$a$	$\cdot$
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# Proof of GS Theorem (contd.)



**Case 3:**  $c \neq a, b$ , and  $d \neq b$ ,

$P_1$	$P_2$	$P'_1$	$P'_2$	$\hat{P}_1$	$\hat{P}_2$
$a$	$b$	$c$	$d$	$c$	$b$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

- Say  $f(P') = d$

$$P' \rightarrow \hat{P}$$

$$f(\hat{P}) = b \text{ (case 2)}$$

$$P \rightarrow \hat{P}$$

$$f(\hat{P}) = d \text{ (case 2)}$$



# Proof of GS Theorem (contd.)



**Case 4:**  $c = a$ , and  $d \neq b, a$

$P_1$	$P_2$	$P'_1$	$P'_2$	$\hat{P}_1$	$\hat{P}_2$
$a$	$b$	$c = a$	$d$	$a$	$b$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

- Say  $f(P') = d$

$$P' \rightarrow \hat{P}$$

$$f(\hat{P}) = b \text{ (case 2)}$$

$$P \rightarrow \hat{P}$$

$$f(\hat{P}) = a \text{ (case 1)}$$

# Proof of GS Theorem (contd.)



**Case 5:**  $c = b$ , and  $d \neq b, a$

$P_1$	$P_2$	$P'_1$	$P'_2$	$\hat{P}_1$	$\hat{P}_2$
$a$	$b$	$c = b$	$d$	$c$	$d$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

- Say  $f(P') = d$

$$P' \rightarrow \hat{P}$$

$$f(\hat{P}) = d \text{ (case 4)}$$

$$P \rightarrow \hat{P}$$

$$f(\hat{P}) = a \text{ (case 4)}$$



# Proof of GS Theorem (contd.)

**Case 6:**  $c = b$ , and  $d = a$

$P_1$	$P_2$	$P'_1$	$P'_2$	$\hat{P}_1$	$P'_2$	$\tilde{P}_1$	$P'_2$
$a$	$b$	$c = b$	$d = a$	$b$	$a$	$x$	$a$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$x$	$\cdot$	$\cdot$	$\cdot$
$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$	$\cdot$

$$f(P') = a$$

$$x \neq a, b$$

$$P' \rightarrow (\hat{P}_1 P'_2),$$

$$f(\hat{P}_1 P'_2) = a \text{ (case 1)}$$

$$P' \rightarrow (\tilde{P}_1 P'_2),$$

$$f(\tilde{P}_1 P'_2) = x \text{ (case 3)}$$

- Player 1 manipulates from  $\hat{P}_1 P'_1 \rightarrow \tilde{P}_1 P'_2$ , since  $x \hat{P}_1 a$
- This completes the proof of  $n = 2$  agent case
- **$n \geq 3$  agent case:** induction on the number of agents. See Sen (2001): "A direct proof of GS theorem", Economics Letters



- ▶ The Social Choice Setup
- ▶ The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- ▶ **Domain Restriction**
- ▶ Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ Median Voter Theorem: Part 2

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$$f(P_i, P_{-i}) \succsim_i f(P'_i, P_{-i}) \text{ OR } f(P_i, P_{-i}) = f(P'_i, P_{-i}), \forall P_i, P'_i \in \mathcal{P}, \forall i \in N, \forall P_{-i} \in \mathcal{P}^{n-1}$$



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  - but there can potentially be more  $f$ 's that can be strategyproof on the **restricted domain**

# Domain restrictions



- 1 Single peaked preferences
- 2 Divisible goods allocation
- 3 Quasi-linear preferences

Each of these domains have interesting non-dictatorial SCFs that are strategyproof

# Single peaked preferences



- Temperature of a room

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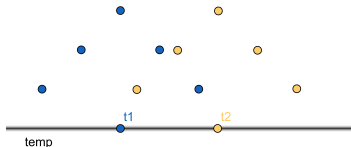


Figure: Single peaked temperature preference

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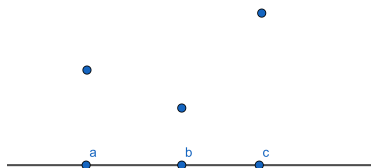


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- The common ordering of the alternatives is denoted via  $<$  [as in real numbers]
- Any relation over the alternatives that is transitive and antisymmetric. In this course, we will assume:
  - 1 alternatives live on a real line
  - 2 consider only one-dimensional single-peakedness



# Single peaked preferences

How is it a domain restriction?



Consider  $a < b < c$ , all possible orderings:

$a$	$b$	$b$	$c$	$a$	$c$
$b$	$a$	$c$	$b$	$c$	$a$
$c$	$c$	$a$	$a$	$b$	$b$

## Definition (Single peaked preferences)

A preference ordering  $P_i$  (linear over  $A$ ) of agent  $i$  is single-peaked w.r.t. the common order  $<$  of the alternatives if

- 1  $\forall b, c \in A$  with  $b < c \leq P_i(1)$ ,  $cP_ib$
- 2  $\forall b, c \in A$  with  $P_i(1) \leq b < c$ ,  $bP_ic$

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How does it circumvent GS theorem?

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## Question

How does it circumvent GS theorem?

## Answer

Each player's preference has a peak. Suppose,  $f$  picks the leftmost peak. For the agent having the leftmost peak, no reason to misreport. For any other agent, the only way she can change the outcome is by reporting her peak to be left of the leftmost – but that is strictly worse than the current outcome.

Repeat this argument for any fixed  $k^{\text{th}}$  peak from left. Even the rightmost peak choosing SCF is also strategyproof, so is the median ( $k = \lfloor \frac{n}{2} \rfloor$ )



- ▶ The Social Choice Setup
- ▶ The Gibbard-Satterthwaite Theorem
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- ▶ Median Voter Theorem: Part 2



## Definition

An SCF  $f : \mathcal{S}^n \rightarrow A$  is a median voter SCF if there exists  $B = \{y_1, y_2, \dots, y_{n-1}\}$  s.t.  $f(P) = \text{median}(B, \text{peaks}(P))$  for all preference profiles  $P \in \mathcal{S}$





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- $f^{\text{leftmost}} \equiv (B_{\text{left}}, \text{peaks}(P)); B_{\text{left}} = \{y_L, \dots, y_L\}$ , i.e., if all phantom peaks are on the left, it corresponds to leftmost peak SCF



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Theorem (Moulin 1980)

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### **Proof Sketch:**

- if  $f(P) = a$  and a player has a peak  $P_i(1)$  to the left of  $a$ , it has no benefit by misreporting the peak to be on the right of  $a$ , which is the only way of changing the outcome of  $f$
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**Note:** mean does not have this property



## Claim

*Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of  $P$  according to  $<$ , then  $f$  is PE iff  $f(P) \in [p_{min}, p_{max}]$*



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**Proof:** ( $\implies$ ) Suppose  $f(P) \notin [p_{min}, p_{max}]$ , WLOG,  $f(P) < p_{min}$ .



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Let  $p_{min}$  and  $p_{max}$  be the leftmost and rightmost peaks of  $P$  according to  $<$ , then  $f$  is PE iff  $f(P) \in [p_{min}, p_{max}]$

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# Median voter SCF and Monotonicity



## Definition (Monotonicity)

An SCF is *monotone* (MONO) if for every two profiles  $P$  and  $P'$  that satisfy  $f(P) = a$  and  $D(a, P_i) \subseteq D(a, P'_i)$ , for all  $i \in N$ , it holds that  $f(P') = a$ .



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$P$				$P'$			
$a$	$a$	$c$	$d$	$c$	$a$	$c$	$d$
$b$	$b$	$b$	$c$	$b$	$b$	$b$	$c$
$c$	$c$	$d$	$b$	$a$	$c$	$d$	$b$
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This proof is similar to the previous one. To prove the reverse implication one needs to argue why the construction is valid in the single peaked domain. (or provide counterexample)

# Equivalence of ONTO, UN, and PE



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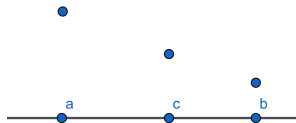


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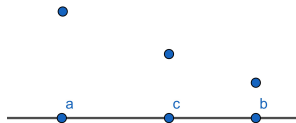


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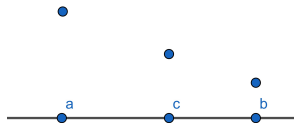


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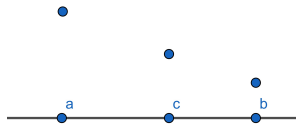


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- Then  $\exists a, b \in A$  s.t.  $a P_i b \forall i \in N$  but  $f(P) = b$
- Since preferences are single peaked,  $\exists$  another alternative  $c \in A$ , which is a neighbour of  $b$  s.t.  $c P_i b \forall i \in N$  ( $c$  can be  $a$  itself)

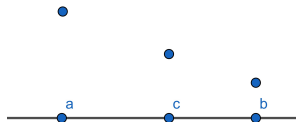


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- ONTO  $\implies \exists P'$  s.t.  $f(P') = c$
- Construct  $P''$  s.t.  $P''_i(1) = c, P''(2) = b, \forall i \in N$
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We are interested in non-dictatorial SCFs, hence a necessary property is anonymity

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- ▶ The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- ▶ Domain Restriction
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# Median Voter Theorem



Seen the equivalence of SP, ONTO, ANON and median voting rule in single peaked domain

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- It is ONTO, pick any arbitrary alternative  $a$ , put peaks of all players at  $a$ : the outcome will be  $a$  irrespective of the positions of the phantom peaks (since there are  $(n - 1)$  phantom peaks and  $n$  agent peaks)

## Proof (contd.)



$\implies$  Given,  $f : S^n \rightarrow A$  is SP, ANON, and ONTO.

## Proof (contd.)



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- define,  $P_i^0$ : agent  $i$ 's preference with peak at leftmost w.r.t.  $<$
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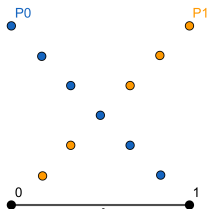


Figure: Two preferences



The proof is constructive, we will construct the median voting rule (which needs the phantom peaks to be defined) s.t. the outcome of an arbitrary  $f$  matches the outcome of the median SCF





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$$y_j = f(\underbrace{P_1^0, P_2^0, \dots, P_{n-j}^0}_{n-j \text{ peaks leftmost}}, \underbrace{P_{n-j+1}^1, \dots, P_n^1}_{j \text{ peaks rightmost}}), \quad j = 1, \dots, n-1$$

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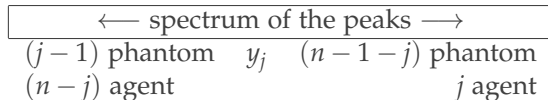


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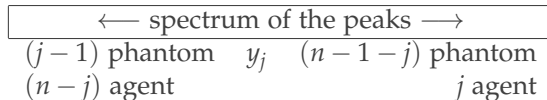






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- Hence,  $p_1 \leq \dots \leq p_{n-j} \leq y_j = a \leq p_{n-j+1} \leq \dots \leq p_n$



- Use a similar transformation as we used earlier

$$f(P_1^0, P_2^0, \dots, P_{n-j}^0, P_{n-j+1}^1, \dots, P_n^1) = y_j \text{ (definition)}$$

$$f(P_1, P_2^0, \dots, P_{n-j}^0, P_{n-j+1}^1, \dots, P_n^1) = b \text{ (say)}$$

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- repeat this argument for the first  $(n - j)$  agents to get

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- Hence,

$$f(P - 1, \dots, P_n) = y_j$$



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- ▶ The Gibbard-Satterthwaite Theorem
- ▶ Proof of Gibbard-Satterthwaite Theorem
- ▶ Domain Restriction
- ▶ Median Voting Rule
- ▶ Median Voter Theorem: Part 1
- ▶ **Median Voter Theorem: Part 2**



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- The only other possibility is that  $x$  and  $y$  fall on different sides of the peak: **we show that this is not possible.**

## Proof (contd.)



- WLOG  $x < a < y$  and  $a < b$
- $f$  is SP+ONTO  $\iff$   $f$  is SP+PE
- PE requires  $f(P) \in [a, b]$ , but  $f(P) = x < a \rightarrow \leftarrow$
- Repeat this argument for  $(P'_1, P_2) \rightarrow (P'_1, P'_2) \square$



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**Profile:**  $(P_1, P_2) = P, P_1(1) = a, P_2(1) = b, y_1$  is the phantom peak, and by assumption,  $\text{median}(a, b, y_1)$  is an agent peak

- WLOG assume that the median is  $a$
- Assume for contradiction  $f(P) = c \neq a$
- By PE,  $c$  must be within  $a$  and  $b$
- We have two cases to consider:  $b < a < y_1$  and  $y_1 < a < b$



**Case 2.1:**  $b < a < y_1$ , by PE  $c < a$

- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$  (possible since they are on different sides of  $a$ )
- By the earlier claim,  $f(P) = c \implies f(P'_1, P_2) = c$
- Now consider the profile  $(P'_1, P_2)$  ( $P'_1$  has its peak at the rightmost point)
- $P_2(1) = b < y \leq P'_1(1)$ , hence the median of  $\{b, y_1, P'_1(1)\}$  is  $y_1$  (which is a phantom peak, hence case 1 applies)
- We get  $f(P'_1, P_2) = y_1$
- But  $y P'_1 c$  (by construction) and  $f(P'_1, P_2) = c$
- Agent 1 manipulates  $P'_1 \rightarrow P_1^1$ , contradiction to  $f$  being SP



**Case 2.2:**  $y_1 < a < b$ , by PE  $a < c$

- Construct  $P'_1$  s.t.  $P'_1(1) = a = P_1(1)$  and  $y P'_1 c$
- $f(P'_1, P_2) = c$  (by claim)
- Consider  $(P_1^0, P_2)$ ,  $P_1^0(1) \leq y_1 < b \implies f(P_1^0, P_2) = y_1$  but  $y_1 P'_1 c$ , hence manipulable by agent 1
- **This completes the proof for two agents (case 2)**
- For the generalization to  $n$  players, see Moulin (1980) "On strategyproofness and single-peakedness"



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